CPE 460 Operating System Design Lecture 3: Once Upon a Process

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Any program to run **must** be loaded in memory







// File: test.c
#include <stdio.h>

}

int main() {
 printf("I love Mansaf!\n");
 return 0;

gcc -o test test.c





// File: test.c
#include <stdio.h>

int main() {
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 return 0;

gcc -o test test.c



}

Program becomes process when executable file loaded into memory

ACTIVES





// File: test.c
#include <stdio.h>
int main() {
 printf("I love Mansaf!\n");
 return 0;
}

gcc -o test test.c



objdump -d test

Disassembly of	ctionTEXT,text:	
text:		
100000150:	pushq hrop	Arrest Arrest
100000151:	s 89 es movq	wrsp, wrbp
100000154:	5 83 ec 10 subq	\$10, %rsp
100000158:	5 80 30 30 00 00 00	Leag 59(%rip), %rdi
100000151:	7 45 TC 00 00 00 00	mov1 58, -4(%rbp)
100000166:	0 00 movb 50, %	el
100000168:	s ed ee ee ee callq	13
100000160:	1 C9 Xorl Neck,	heck
100000161:	9 45 78 movl	heax, -B(hrbp)
100000172:	C8 movl %eck,	heax
100000174:	8 83 c4 10 addq	\$16, %rsp
100000178:	f popq hrbp	
100000179:	3 retq	
_main:		
100000150:	5 pushq %rbp	
100000151:	8 89 e5 movq	hrsp, hrbp
100000154:	8 83 ec 10 subq	\$16, %rsp
100000158:	8 8d 3d 3b 00 00 00	leag 59(%rip), %rdi
100000151:	7 45 fc 00 00 00 00	mov1 \$8, -4(%rbp)
100000166:	8 88 movb \$8, %	al
100000168:	8 0d 00 00 00 callq	13
100000f6d:	1 c9 xorl %eck,	heck
100000161:	45 f8 movl	heax, -B(hrbp)
100000172:	0 c8 movl heck,	heax
100000174:	8 83 c4 10 addg	\$16, %rsp
100000178:	popg hrbp	
100000179:	3 reto	
Disassembly of	tionTEXT,stubs	1
stubs:		
100000f7a:	25 98 88 88 88	jmpg +144(%rip)
Disassembly of	tionTEXT,stub_	helper:
stub_helper:		
100000180:	: 8d 1d 81 00 00 00	leag 129(%rip), %r11
100000187:	1.53 pushq %r11	
100000189:	25 71 00 00 00	jmpg +113(%rip)
100000181:	nop	
100000190:	8 00 00 00 00 pusha	58
100000795:	e6 ff ff ff jmp	-26 <stub_helper></stub_helper>
	Disassembly of set text: 100000f50: 5: 100000f51: 41 100000f51: 41 100000f56: 5: 100000f56: 5: 100000f56: 6: 100000f56: 6: 100000f57: 6: 100000f71: 8: 100000f71: 6: 100000f51: 5: 100000f51: 41 100000f51: 41 100000f51: 41 100000f51: 41 100000f51: 6: 100000f51: 6: 1000000f51: 6: 100000f51: 6: 100000	Disassembly of sectionTEXT,text: text: 100000750: 55 pushq %rbp 100000751: 48 89 e5 movq 100000754: 48 83 ec 10 subq 100000754: 48 83 dd 3b 00 00 00 00 100000754: c7 45 fc 00 00 00 00 100000756: b0 00 movb 58, % 100000766: b0 00 movb 58, % 100000766: 31 c9 xorl %ecx, 100000774: 48 83 c4 10 addq 100000774: 48 83 c4 10 addq 100000774: 48 83 c4 10 addq 100000751: 55 pushq %rbp 100000751: 48 89 e5 movq 100000751: 48 89 e5 movq 100000751: 48 89 e5 movq 100000751: 48 89 e5 movq 100000751: 48 89 e6 movb 58, % 100000751: 48 89 e5 movq 100000751: 48 89 e8 movl %ecx, 100000751: 68 e0 00 00 callq 100000751: 68 8d 3d 3b 00 00 00 100000751: 68 8d 3d 3b 00 00 callq 100000751: 68 8d 3d 3b 00 00 callq 100000751: 68 e0 00 callq 100000751: 68 movl %ecx, 100000774: 48 83 c4 10 addq 100000774: 48 83 c4 10 addq 100000774: 48 83 c4 10 addq 100000778: 5d popq %rbp 100000778: 5d popq %rbp

http://www.thegeekstuff.com/2012/09/objdump-examples/?utm_source=feedburner https://jvns.ca/blog/2014/09/06/how-to-read-an-executable/

6.

Process Memory Layout

https://en.wikipedia.org/wiki/Data segment



Lower Address

	#i in	nclude <stdio.h t main(void) {</stdio.h 	> \$ gcc m \$ size	emory-layou memory-layo	t.c -o memo ut	ory-layout			
	}	return 0;	text 960	data 248	bss 8	dec 1216	hex 4c0	filename memory-layout	
	#i in in }	nclude <stdio.h t global; t main(void) { return 0;</stdio.h 	> \$ gcc m \$ size text 960	emory-layou memory-layo data 248	t.c -o memo ut bss 12	ory-layout dec 1216	hex 4c0	filename memory-layout	
	#i in in	<pre>nclude <stdio.h 0;<="" global;="" i;="" int="" main(void)="" pre="" return="" static="" t="" {=""></stdio.h></pre>	> \$ gcc m \$ size text 960	emory-layou memory-layo data 248	t.c -o memo ut bss <mark>16</mark>	ory-layout dec 1216	hex 4c0	filename memory-layout	
#	} includ	e <stdio.h></stdio.h>							http://www.geeksforgeeks.org/ memory-layout-of-c-program/
i	nt glo nt mai sta ret	bal = 10; n(void) { tic int i = 100 urn 0;	<pre>\$ gcc m \$ size text 960</pre>	emory-layou memory-layo data 256	t.c -o memo ut bss <mark>8</mark>	ory-layout dec 1216	hex 4c0	filename memory-layout	
}	#inclu int ma pr re }	de <stdio.h> in(void) { intf("hello\n") turn 0;</stdio.h>	\$ gcc m \$ size text 960	emory-layou memory-layo data 248	t.c -o memo ut bss 8	ory-layout dec 1216	hex 4c0	filename memory-layout	

One program can be several processes



			Task Man	ager				×
Eile Optio	ons <u>X</u> iew							
Processes	Performance A	pp history	Startup Users	Details	Service	5		
Name	*	Status	26 C	96 PU N	62% lemory	0% Disk	0% Network	
💿 Go	ogle Chrome (32	bit)	(y% 6	9.2 MB	0 MB/s	0 Mbps	^
👩 Go	ogle Chrome (32	bit)	1.3	1% 3	7.5 MB	0 MB/s	0 Mbps	12
👩 Go	ogle Chrome (32	bit)	0.5	5% 8	8.3 MB	0 MB/s	0 Mbps	
👩 Go	ogle Chrome (32	bit)	(2% 2	6.5 MB	0 MB/s	0 Mbps	
👩 Go	ogle Chrome (32	bit)	(7% e	57.1 MB	0 MB/s	0 Mbps	
👩 Go	ogle Chrome (32	bit)	(1% 4	19.6 MB	0 MB/s	0 Mbps	
👩 Go	ogle Chrome (32	bit)	0	7% 1	19.8 MB	0 MB/s	0 Mbps	~
Fewer	details						End task	

Process State



The process is waiting for some event to occur

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OPERATING SYSTEM KINGDOM

PI



PROCESS STATE:

PROGRAM COUNTER:

CPU REGISTERS:

CPU SCHEDULING INFO:

MEMORY MANAGEMENT INFO:

RUCESS

ACCOUNTING INFO:

I/O STATUS INFO:



Process Number: a unique identification number for each process in the operating system.

Process State: new, ready, running, waiting, terminated.

Program Counter: A pointer to the address of the next instruction to be executed for this process

CPU Registers: Contents of all process-centric registers. Tis state information must be saved when an *interrupt* occurs, to allow the process to be continued correctly afterward.

CPU Scheduling Info: Priorities, scheduling queue pointers and other scheduling parameters (*Chapter 6*)

Memory Management Info: Memory allocated to the process such as: base/limit registers and page/segment tables (*Chapter 7*)

Accounting Info: Amount of CPU and real time used, time limits, account numbers, job or process numbers.

I/O Status Info: The list of I/O devices allocated to process, list of open files

Operating Systems differ in Process Representation



https://github.com/torvalds/linux/blob/master/include/linux/sched.h#L1501 http://www.tldp.org/LDP/tlk/ds/ds.html



<image><image><image><image><image><image><image><image><image><image><image><image><image>

Maximize CPU use, quickly switch processes onto CPU for time sharing

Maximize throughput by increasing the number of processes that are completed per time unit

Maximize response time by decreasing the time from the submission of a request until the first response is produced



Timesharing (Multitasking)



Process Scheduler

Process scheduler selects among available processes for next execution on CPU





Comparison among Scheduler

S.N.	Long-Term Scheduler	Short-Term Scheduler	Medium-Term Scheduler
1	It is a job scheduler	It is a CPU scheduler	It is a process swapping scheduler.
2	Speed is lesser than short term scheduler	Speed is fastest among other two	Speed is in between both short and long term scheduler.
3	It controls the degree of multiprogramming	It provides lesser control over degree of multiprogramming	It reduces the degree of multiprogramming.
4	It is almost absent or minimal in time sharing system	It is also minimal in time sharing system	It is a part of Time sharing systems.
5	It selects processes from pool and loads them into memory for execution	It selects those processes which are ready to execute	It can re-introduce the process into memory and execution can be continued.

https://www.tutorialspoint.com/operating_system/os_process_scheduling.htm



The mechanism to store and restore **the state or context** of a CPU in **Process Control Block** so that a process execution can be resumed from the same point at a later time

When the scheduler switches, the CPU switches from executing one process to another process, the system must save the state "Context" of the old process and load the saved state "Context" for the new process





Context Switching

Context switches are **computationally intensive** since register and memory state must be saved and restored

The more complex the OS and the PCB; the longer the context switching

To avoid the amount of context switching time, some hardware systems employ two or more sets of processor registers so that multiple contexts loaded at once.



```
2810 /*
2010 * context_subth - subth to the new MM and the new thread's register state.
2011 */
2012 static __abasys_ialize struct rg *
2013 context_switch(struct rg *rg, struct task_struct *prev,
2014
                    struct task_struct *next, struct pin_cookie cookie)
2015 4
             struct me_struct *mm, *oldmm;
2867
2018
             prepare_task_switch(re, prev, next);
2879
             nn = reat-inn;
             oldem = prev-bactive_mm;
             14
2872
2873
              * For paravirt, this is coupled with an exit in edith_to to
2874
               * combine the page table relead and the switch backend into
2875
               * one hypercall.
               */
2877
             and_start_context_witch(prev);
2476
             Lf (Imm) (
2888
                     next-sective_mm = olden;
                     stonic_inc(Boldma-)em_count);
2003
                     enter_lacy_tlb(oldes, next);
2083
             ) else
                     switch_mm_irgs_off(oldsm, mm, next);
2886
             Lf (lprev-see) (
                     prev-bactive_mm = NULL;
2887
2000
                     rq->prev_mm + sldmm;
2889
             2010
             14
              * Since the rungueue lock will be released by the next
              * task (which is an invalid locking op but in the case
2012
               * of the scheduler it's an obvious special-case), so we
               * do an early lockdep release here:
               11
             lockdep_umpin_lock(&rg-block, cookie);
2817
             spin_release(&rg-block.dep_map, 1, _THIS_IP_);
2010
             /* Here we just multch the register state and the stack. */
2944
             switch_to(prev, next, prev);
2941
             barrier();
```

https://github.com/torvalds/linux/blob/master/kernel/sched/core.c#L2862



Create, Delete Communication Connection Message Passing Model Host/Process Name Shared-Memory Model Transfer Status Information Attach/Detach Remote Devices



Create/Terminate/Load/Execute Process Get/Set Process Attributes Wait for Time/Event wait event, signal event Allocate/Free/Dump Memory Locks for Process Synchronization



Control access to resources Get and set permissions Allow and deny user access

System Call

Provide Abstractions



Create/Delete/Open/Close/Read/Write File Get/Set File Attributes



Get/Set Time or Date Get/Set System Data



Request/Release/Read/Write Device Get/Set Device Attributes Logically Attach/Detach devices

Process Creation



Parent process creates **children** processes, which, in turn create other processes, forming a **tree of processes**

Process identified and managed via a process identifier (PID) – **Unique ID**





80	howtoge	ek@	ubun	itu: ~							
top - Tasks:	03:48:40 (143 tota)	ιp 1 ι,	9 mi 1 r 7%1	in, 1 unning	user,), 142	, lo 2 sle	ad 2p1 7%	avera	0 st	.16, 0.09, opped, 0	0.16 zombie
Hem:	1825656k	tot	al	678	seek i	used		34787	76k fr	ee. 790	36k buffer
Swap:	0k	tot	al,	010.	0k u	used,		3470	0k fr	ee, 310	528k cached
PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	%MEM	TIME+	COMMAND
1216	root	20	0	32624	3460	2860	s	0.7	0.3	0:05.31	vmtoolsd
2025	howtogee	20	0	81456	23m	17n	s	0.7	2.3	0:01.41	unity-2d-p
17	root	20	0	Θ	Θ	0	s	0.3	0.0	0:00.34	kworker/0:
36	root	20	0	0	0	0	s	0.3	0.0	0:00.10	scsi_eh_1
1081	root	20	0	199m	60m	7340	s	0.3	6.0	0:13.42	Xorg
1973	howtogee	20	0	6568	2832	916	s	0.3	0.3	0:06.24	dbus-daeno
2153	howtogee	20	8	147m	16m	9820	s	0.3	1.7	0:03.63	unity-pane
2313	howtogee	20	0	136m	13m	10m	s	0.3	1.4	0:00.84	gnome-term
2697	howtogee	20	0	2820	1148	864	R	0.3	0.1	0:00.05	top
1	root	20	0	3456	1976	1280	s	0.0	0.2	0:02.31	init
2	root	20	0	0	0	0	s	0.0	0.0	0:00.00	kthreadd
3	root	20	0	0	6	0	s	0.0	0.0	0:00.07	ksoftlrgd/

First process to run is the "**systemd**" process that is started at **system boot**. This is the grand parent of all processes in the whole system

If a process dies, then its orphan children are reparented to the "**systemd**" process



Parent and children share all resources

Children share subset of parent's resources

Parent and child share no resources

Address Space





Child duplicate of parent

Child has a program loaded into it

Process Creation



Process Creation



```
#include <sys/types.h>
#include <stdio.h>
#include <unistd.h>
int main()
pid_t pid;
   /* fork a child process */
   pid = fork();
   if (pid < 0) { /* error occurred */
      fprintf(stderr, "Fork Failed");
      return 1;
   else if (pid == 0) { /* child process */
      execlp("/bin/ls","ls",NULL);
   else { /* parent process */
      /* parent will wait for the child to complete */
      wait(NULL);
      printf("Child Complete");
```

return 0;



https://www.youtube.com/watch?v=WcsZvdlLkPw

```
int x = 5, y = 2, z = 30;
x = fork();
y = fork();
if(x != 0){
    printf("Type 1\n");
}
if(y != 0){
    printf("Type 2\n");
}
z = fork();
if((x > 0) || (y > 0) || (z > 0)){
    printf("Type 3\n");
}
if((x == 0) \&\& (y == 0) \&\& (z != 0))
    printf("Type 4\n");
}
if((x != 0) \& (y != 0) \& (z != 0))
    printf("Type 5\n");
}
```



Process Termination

Process executes last statement and then asks the OS to delete it using the exit() system call

Parent may terminate the execution of children processes using the **abort**() system call

Child has exceeded allocated resources OR Task assigned to child is no longer required



The parent process may wait for termination of a child process by using wait()

Returns status data from child to parent via pid = wait(&status);

Process' resources are deallocated by OS

Orphan Process

A child process whose parent process has finished or terminated, though it remains running itself





Some operating systems do not allow "**Orphan**" processes to exists. If a process terminates, then all its children must also be terminated

Some operating systems *re-parent (adopt)* all orphan processes to the *init* or *systemd* process

Zombie Process

A child process that has completed execution but has not yet been reaped



The entry for child process is still needed to allow the parent process to read its child's exit status: once the exit status is read via the **wait()**, the zombie's entry is removed from the process table and it is said to be "reaped"

A child process always first becomes a zombie before being removed from the resource table.

It requires a system re-boot





CPU Scheduling

CPU scheduler selects among available processes for next execution on CPU





CPU burst distribution is of **main concern** to select appropriate **CPU-scheduling algorithm**



CPU-Bound Process

spends more time doing computations; few very long CPU bursts



I/O-Bound Process spends more time doing I/O than computations (*short CPU bursts*)





The act of temporarily interrupting a process being allocated to the CPU, without requiring its cooperation, and with the intention of resuming the process at a later time.

> Consider access to shared data Consider preemption while in kernel mode Consider interrupts occurring during crucial OS activities

Once the CPU has been allocated to a process, the process keeps the CPU until it releases the CPU either by terminating or by switching to the waiting state

Process Dispatcher

Gives control of the CPU to the process selected by the short-term scheduler





CPU Scheduling

There are many CPU scheduling algorithm, what are the criteria to compare among them?

CPU Utilization *keep the CPU as busy as possible*

Throughput Number of processes that complete their execution per time unit

Turnaround Time amount of time to execute a particular process Waiting Time amount of time a process has been waiting in the ready queue

Response Time amount of time it takes from when a request was submitted until the first response is produced (not output)





First Come, First Serve Scheduling

https://youtu.be/w9Uld56AsKE?t=11s

http://cs.uttyler.edu/Faculty/Rainwater/COSC3355/Animations/fcfs.htm



The process that requests the CPU first is allocated the CPU first



Waiting Time amount of time a process has been waiting in the ready queue







Nonpreemptive Scheduling

Once the CPU has been allocated to a process, the process keeps the CPU until it releases the CPU either by terminating or requesting I/O FCFS is troublesome for time-sharing systems, where it is important that each user get a share of the CPU at regular intervals. It would be disastrous to allow one process to keep the CPU for an extended period.



Occurs when short process behind long process. All the short processes wait for the one big process to get off the CPU



Convoy Effect results in *lower CPU and device utilization* than might be possible if the shorter processes were allowed to go first.



Shortest Job First Scheduling

https://youtu.be/w9Uld56AsKE?t=38s

http://cs.uttyler.edu/Faculty/Rainwater/COSC3355/Animations/sjf.htm



Shortest Job First Scheduling The CPU is allocated to the process with the least CPU burst

Associate with each process the length of its next CPU burst

Use these lengths to schedule the process with the shortest time

Optimal by giving min. avg. waiting Time. The difficulty is knowing the length of the next CPU request

Preemptive SJF

Interrupt the process being allocated to the CPU, if there is another process has arrived with lesser CPU time than the remaining CPU time for the running process

Nonpreemptive SJF

Once the CPU has been allocated to a process with the highest priority, the process keeps the CPU until it releases the CPU either by terminating or requesting I/O



Preemptive SJF

Interrupt the process being allocated to the CPU, if there is another process has arrived with lesser CPU time than the remaining CPU time for the running process

9

5



Shortest Remaining Time First





4

8



Priority Scheduling

https://www.youtube.com/watch?v=rcOBx752m-Q http://cs.uttyler.edu/Faculty/Rainwater/COSC3355/Animations/priority.htm



Priority Scheduling The CPU is allocated to the process with the highest

ne CPU is allocated to the process with the highest priority (smallest integer = highest priority)



SJF is a priority scheduling where priority is the inverse of predicted next CPU burst time A priority number (integer) is associated with each process



Preemptive Priority

Interrupt the process being allocated to the CPU, if there is another process has arrived with higher priority than the priority of the running process

Nonpreemptive Priority

Once the CPU has been allocated to a process with the highest priority, the process keeps the CPU until it releases the CPU either by terminating or requesting I/O



The CPU is allocated to the process with the *highest priority (smallest integer = highest priority)*



:0

27

30









Priority Scheduling The CPU is allocated to the process with the highest

he CPU is allocated to the process with the highes priority (smallest integer = highest priority)

Problem Starvation

low priority processes may never execute

Aging – as time progresses increase the priority of the process



When they shut it down in 1973, they found a low-priority process that had been submitted in 1967 and had not yet been run



http://research.microsoft.com/enus/um/people/mbj/Mars_Pathfinder/Mars_Pathfinder.html



Round Robin Scheduling

https://youtu.be/w9Uld56AsKE?t=1m31s

http://cs.uttyler.edu/Faculty/Rainwater/COSC3355/Animations/rr.htm



Round Robin Scheduling

Typically, higher average **turnaround** than SJF, but better response

Each process gets a **quantum time** (usually 10-100 milliseconds) of CPU time. After this time has elapsed, the process is preempted and added to the end of the ready queue



Timer interrupts every quantum to schedule next process









Quantum Time and Context Switching Time



Quantum Time is usually 10ms to 100ms, Context Switching Time < 10 μ sec



Turnaround Time Varies With The Time Quantum





Turnaround Time amount of time to execute a particular process

Response Time amount of time it takes from when a request was submitted until the first response is produced (not output)

Typically, higher average turnaround than SJF, but better response

A rule of thumb is that 80 percent of the CPU bursts should be shorter than the time quantum

Queue Scheduling







Multilevel Queue Scheduling

highest priority







Multilevel Feedback Queue

A process can move between the various queues; aging can be implemented this way



Completely Fair Scheduler

https://en.wikipedia.org/wiki/Completely_Fair_Scheduler

https://github.com/torvalds/linux/blob/master/kernel/sched/fair.c https://github.com/torvalds/linux/blob/master/include/linux/init_task.h#L200

dd_random iscard_granularity iscard_max_bytes iscard_max_hw_bytes iscard_zeroes_data	logical_block_size max_hw_sectors_kb max_integrity_segments max_sectors_kb max_segments	optimal_io_size physical_block_size read_ahead_kb rotational rg_affinity	
w_sector_size o_poll osched/ ostats hmed@ahmed:~\$ cat /sy oop [deadline] cfq bmed@abmed:~\$	<pre>max_segment_size minimum_io_size nomerges nr_requests s/block/sda/queue/schedul</pre>	scheduler write_same_max_bytes er	
unealitanuea : ~\$			

https://github.com/torvalds/linux/blob/master/include/uapi/linux/sched.h



http://www.cs.montana.edu/~chandrima.sa rkar/AdvancedOS/CSCI560_Proj_main/



